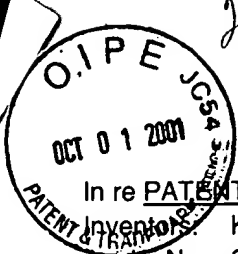


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

SECTOR 1A  
Box 509



In re PATENT APPLICATION of

Inventors: Keith D. ALLEN et al.

Appn. No.: 09/900,754

Filed: July 6, 2001

Title: Transgenic Mice Containing Tryptase Gene Disruptions

Docket/Order No. R-372

Deposit Account No. 50-1271

Customer No. 26619

Date: September 28, 2001

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed ☒ Original / ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Enclosed are a set of formal drawings with the margins corrected.
5. ☒ Enclosed is a printed sequence listing and a diskette containing same.
6. ☒ Applicants state that the content of the sequence listing information recorded in computer readable form is identical to the written sequence listing and includes no new matter, as required by 37 CFR 1.821.
7. ☒ Applicants hereby claim "small entity" status in accordance with 37 CFR § 1.27.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

7. Basic Filing Fee .....					\$355.	\$	\$355.00	201
8. Total Claims	30	minus 20 =	10	x	9.	+	90.00	203
9. Independent Claims	12	minus 3 =	09	x	40.	+	360.00	202
10. Multiple Dependent Claims					135.	+	135.00	
11. Surcharge for filing Declaration/filing fee late					65.	+	65.00	205
12. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =						\$	1005.00	
13. Original due date: <b>October 2, 2001</b>								
14. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 195. 445. / 695.		.00	
15. If Assignment is included, add recording fee .....					\$ 40.	+	40.00	381
16. TOTAL FEE (enclosed): =							\$1045.00	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

1003 Hamilton Avenue  
Menlo Park, CA 94025  
Tel: (650) 463-5836  
Fax: (650) 752-0202

*Mariette A. Lapiz*  
Mariette A. Lapiz, Reg. No. 44,202

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on September 28, 2001.

*Gayle D. Peterson*  
Gayle D. Peterson

R-372

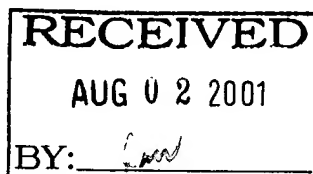


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/900,754	07/06/2001	Keith D. Allen	R-372

DELTAGEN, INC.  
1003 Hamilton Avenue  
Menlo Park, CA 94025



CONFIRMATION NO. 4570

## FORMALITIES LETTER



\*OC000000006375323\*

Date Mailed: 08/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 BABRAHA1 00000121 09900754

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:202	378.00 OP
04 FC:203	63.00 OP
05 FC:204	140.00 OP

11/16/2001 YGIZAW 00000003 501271 09900754

Filing Date Granted: 201 11.00 CH 4.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$558. 10/04/2001 BABRAHA1 00000121 09900754
  - \$63 for 7 total claims over 20. 10/04/2001 YGIZAW 00000003 501271 09900754
  - \$360 for 9 independent claims over 3. 06 FC:381 40.00 OP
  - \$135 for multiple dependent claim surcharge. 07 FC:998 4.00 OP
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 978.

Adjustment date: 11/16/2001 YGIZAW  
10/04/2001 BABRAHA1 00000121 09900754  
07 FC:998 -4.00 OP

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE